

Audit Plan	Project Name Full Audits	Last Audited (within 5 years)	Last Audit Opinion/reasoning for inclusion	
PCC Audit Plan 2017/18	PCC1718-001 ASC - Governance Framework for integration/ joint working/ partnership arrangements (PCC/ CCG & other health partners)	New	New area risks around delivery and decision making due to differing operating & governance structures.	ASC - Adult Social Care
PCC Audit Plan 2017/18	PCC1718-002 ASC - Learning Disabilities Spend	New	Significant pressures on budget and service delivery	
PCC Audit Plan 2017/18	PCC1718-003 ASC - Out City placements	Older than 5 years	Significant pressures on budget and service delivery	
PCC Audit Plan 2017/18	PCC1718-004 ASC - Domiciliary Care	2014/15	Contract review, significant area of expenditure	
PCC Audit Plan 2017/18	PCC1718-005 CSC - Through Care Team (0-25)	2016/17	No Assurance. Risks identified not mitigated since 15/16. Changes in staffing	CSC - Children Social Care
PCC Audit Plan 2017/18	PCC1718-006 CSC - Troubled Families Grant	2016/17	Annual Grant Sign off	
PCC Audit Plan 2017/18	PCC1718-007 CSC - Allowances - Fostering Allowances or Special Guardianship Allowances	Allowances not previously covered	No previous audit reviews unknown control framework	
PCC Audit Plan 2017/18	PCC1718-008 CSC - Family Support - Children in Need	2014/15	Limited Assurance. Non compliance with procedure	
PCC Audit Plan 2017/18	PCC1718-072 CSC - Residential Units	2013/14	Limited Assurance previously given. Diminished control compliance and risks of cash handling.	CDC - City Development & Culture
PCC Audit Plan 2017/18	PCC1718-009 CDC - Revenue Clients	New	No previous audit reviews unknown control framework	
PCC Audit Plan 2017/18	PCC1718-010 CDC - Marketing and Tourism	New	No previous audit reviews unknown control framework	
PCC Audit Plan 2017/18	PCC1718-011 CDC - Licensing & Enforcement	2015/16	Regulatory Service	
PCC Audit Plan 2017/18	PCC1718-012 CDC - Merchandise, Stock, Retail	New	No previous audit reviews unknown control framework	C&C - Community & Communication
PCC Audit Plan 2017/18	PCC1718-013 C&C - Cashiers	2016/17 (part of unit reviews)	Limited Assurance. Annual audit at various cash collection sites	
PCC Audit Plan 2017/18	PCC1718-014 C&C - Data Sweeps	2016/17	Limited Assurance. Annual data sweep in relation to Information Governance	
PCC Audit Plan 2017/18	PCC1718-015 C&C - Information Governance Data Sharing	New	No previous audit reviews unknown control framework risks associated with Data Protection	
PCC Audit Plan 2017/18	PCC1718-016 C&C - City Helpdesk	New	No previous audit reviews unknown control framework	C&C - Community & Communication
PCC Audit Plan 2017/18	PCC1718-017 C&C - Publicity and Advertising	New	No previous audit reviews unknown control framework	
PCC Audit Plan 2017/18	PCC1718-018 C&C - Social Media	New	No previous audit reviews unknown control framework	
PCC Audit Plan 2017/18	PCC1718-071 C&C - Covert Surveillance of Social Networking sites	New	Change in legislation and increased risk of breach of Human Rights Act	
PCC Audit Plan 2017/18	PCC1718-019 C&C - Council Tax and NNDR	2016/17	Limited Assurance. Annual audit	FIS - Finance & Information Services
PCC Audit Plan 2017/18	PCC1718-020 C&C - Housing & Council Tax Benefits	2016/17	Reasonable Assurance. Annual audit	
PCC Audit Plan 2017/18	PCC1718-021 FIS - Accounts payable	2016/17	Limited Assurance. Annual audit	
PCC Audit Plan 2017/18	PCC1718-022 FIS - Accounts receivable	2016/17	Limited Assurance. Annual audit	
PCC Audit Plan 2017/18	PCC1718-023 FIS - Purchase Cards	2016/17	Limited Assurance. Annual audit	FIS - Finance & Information Services
PCC Audit Plan 2017/18	PCC1718-024 FIS - Payroll/ Pension	2016/17	Limited Assurance. Annual audit	
PCC Audit Plan 2017/18	PCC1718-025 FIS - Treasury Management	2016/17	Full Assurance. Annual audit required due to the monetary values involved.	
PCC Audit Plan 2017/18	PCC1718-026 FIS - General Ledger, Main accounting and budget monitoring	2016/17	Limited Assurance. Annual audit	
PCC Audit Plan 2017/18	PCC1718-027 FIS - Grants	2016/17	Various Grants for sign off	FIS - Finance & Information Services
PCC Audit Plan 2017/18	PCC1718-028 FIS - iExpenses	2016/17	Limited Assurance. Annual audit, change in processes to automated from manual	
PCC Audit Plan 2017/18	PCC1718-029 FIS - Data Archiving	2015/16	No Assurance. Data Protection compliance.	

PCC Audit Plan 2017/18	PCC1718-030 FIS - IT Procurement, inventory & disposal	Scope not previously covered	No previous audit reviews unknown control framework	
PCC Audit Plan 2017/18	PCC1718-031 FIS - Email and Internet Security	New	No previous audit reviews unknown control framework	
PCC Audit Plan 2017/18	PCC1718-032 FIS - Disaster recovery/Business Continuity	Older than 5 years	No previous audit reviews unknown control framework	
PCC Audit Plan 2017/18	PCC1718-033 HRLP - Strategic Project Management	2014/15	Scope to review corporate oversight & direction	HRLP - HR, Legal and Performance
PCC Audit Plan 2017/18	PCC1718-034 HRLP - Absence management	2012/13	Limited Assurance. Scope to include reliability of data.	
PCC Audit Plan 2017/18	PCC1718-035 H&P - Rent Income	2016/17	Reasonable Assurance. Annual audit due to level of income	H&P - Housing & Property
PCC Audit Plan 2017/18	PCC1718-036 H&P - Parking Space & Garage Management	New	No previous audit reviews unknown control framework	
PCC Audit Plan 2017/18	PCC1718-037 H&P - Sheltered Housing	2015/16	Limited Assurance. Issues identified around the effectiveness of the control framework for cash handling	
PCC Audit Plan 2017/18	PCC1718-038 H&P - Under Occupation	New	No previous audit reviews unknown control framework	
PCC Audit Plan 2017/18	PCC1718-039 H&P - Youth Centres/ Community Centres	Older than 5 years	No previous audit reviews unknown control framework	
PCC Audit Plan 2017/18	PCC1718-040 H&P - Repairs and Service Charges to Leaseholders	2014/15	Scope to include repair program and costings.	
PCC Audit Plan 2017/18	PCC1718-042 H&P - Maintenance Repairs	2014/15	Significant costs & high level transactions	
PCC Audit Plan 2017/18	PCC1718-044 H&P - Legionella	2016/17	Limited Assurance. Annual audit	
PCC Audit Plan 2017/18	PCC1718-045 H&P - Carbon Reduction Scheme	2016/17	Annual sign off required	
PCC Audit Plan 2017/18	PCC1718-046 PORT - Income Dues Brittany	2014/15	Rolling programme of main Port clients	PORT - Portsmouth International Port
PCC Audit Plan 2017/18	PCC1718-047 PORT - Income Dues Condor	2015/16	Rolling programme of main Port clients	
PCC Audit Plan 2017/18	PCC1718-048 PORT - Port Maintenance	New	No previous audit reviews unknown control framework	
PCC Audit Plan 2017/18	PCC1718-049 PORT - Ships Services	New	No previous audit reviews unknown control framework	
PCC Audit Plan 2017/18	PCC1718-050 CDC - Emergency and BC Planning	2013/14	Limited Assurance	CDC - City Development & Culture
PCC Audit Plan 2017/18	PCC1718-051 CDC - Sea Defences and drainage	2014/15	Assurance. Scope to include project oversight and delivery	
PCC Audit Plan 2017/18	PCC1718-052 REG - Local Sustainable Transport Fund LSTF Grant- Active Travel & My Journey Portsmouth Active Travel	New	Grant sign off	REG - Regeneration
PCC Audit Plan 2017/18	PCC1718-053 REG - Enterprise Centres	New	No previous audit reviews unknown control framework	
PCC Audit Plan 2017/18	PCC1718-053 REG - SIM card inventory & stock control	New	No previous audit reviews unknown control framework	
PCC Audit Plan 2017/18	PCC1718-054 PUBH - Mental Health	New	No previous audit reviews unknown control framework	PUBH - Public Health
PCC Audit Plan 2017/18	PCC1718-055 PUBH - Business Planning & Risk Management	New	No previous audit reviews unknown control framework	
PCC Audit Plan 2017/18	PCC1718-056 EXT - Devonshire Infants	2014/15	Assurance	EXT - External Client
PCC Audit Plan 2017/18	PCC1718-057 EXT - Harbour	New	Full audit to be conducted	
PCC Audit Plan 2017/18	PCC1718-058 EXT - Mayfield	2013/14	Limited Assurance	
PCC Audit Plan 2017/18	PCC1718-059 EXT - Northern Parade	2014/15	Assurance	
PCC Audit Plan 2017/18	PCC1718-060 EXT - Wimbourne Junior	2014/15	Assurance	
PCC Audit Plan 2017/18	PCC1718-061 EXT - St George's	2014/15	Assurance	
PCC Audit Plan 2017/18	PCC1718-062 EXT - Meon Infant	2014/15	Limited Assurance	
PCC Audit Plan 2017/18	PCC1718-069 EXT - Langstone Harbour Board	2016/17	Annual accounts review.	
	Audit title - Follow Up Audits			
PCC Audit Plan 2017/18	1617-002 ASC - Adult Residential Units			

PCC Audit Plan 2017/18	1617-005	ASC - Adult Safeguarding Board
PCC Audit Plan 2017/18	1617-007	ASC - Better Care Fund (Section 75)
PCC Audit Plan 2017/18	1617-008	ASC - Appointeeship
PCC Audit Plan 2017/18	1617-010	CSC - Child sexual exploitation and missing persons
PCC Audit Plan 2017/18	1617-014	CSC - Multi Agency Safeguarding Hub
PCC Audit Plan 2017/18	1617-015	CSC - Legal Privilege (Public Law Outline)
PCC Audit Plan 2017/18	1617-023	CDC - Museums and Visitor Services
PCC Audit Plan 2017/18	1617-022	CDC - Safety Signage
PCC Audit Plan 2017/18	1617-024	CDC - Cemeteries
PCC Audit Plan 2017/18	1617-030	C&C - Lord Mayors Office
PCC Audit Plan 2017/18	1617-026	PBH - Wellbeing Service
PCC Audit Plan 2017/18	1617-033	C&C - Traded Services
PCC Audit Plan 2017/18	1617-037	CSE - Music Services
PCC Audit Plan 2017/18	1617-115	CSE - Attendance Monitoring
PCC Audit Plan 2017/18	1617-045	FIS - Capital accounting
PCC Audit Plan 2017/18	1617-051	FIS - VAT
PCC Audit Plan 2017/18	1617-056	FIS - Access Controls, Database Access
PCC Audit Plan 2017/18	1617-058	HLP - Performance Management
PCC Audit Plan 2017/18	1617-077	H&P - Green and Clean
PCC Audit Plan 2017/18	1617-078	H&P - Municipal Waste Management
PCC Audit Plan 2017/18	1617-066	RCS - Animal Health & Licensing
PCC Audit Plan 2017/18	1617-067	RCS - Community Funerals
PCC Audit Plan 2017/18	1617-070	H&P - Resident Development
PCC Audit Plan 2017/18	1617-071	H&P - Commercial Rents
PCC Audit Plan 2017/18	1617-072	H&P - Emergency Procedures
PCC Audit Plan 2017/18	1617-075	H&P - Asbestos
PCC Audit Plan 2017/18	1617-081	C&C - Printing Shared Service
PCC Audit Plan 2017/18	1617-083	HLP - Spend on Staffing Off Contract
PCC Audit Plan 2017/18	1617-086	PRT - Pilotage
PCC Audit Plan 2017/18	1617-087	PRT - Port CCTV
PCC Audit Plan 2017/18	1617-090	TEB - Community Learning
PCC Audit Plan 2017/18	1617-093	MMD - Insurance & Claims
PCC Audit Plan 2017/18	1617-094	MMD - Repairs & Maintenance
PCC Audit Plan 2017/18	1617-116	EXT - Craneswater
PCC Audit Plan 2017/18	1617-117	EXT - Medina Primary
PCC Audit Plan 2017/18	1617-120	H&P - Compliance with Fire Policy
PCC Audit Plan 2017/18	1617-121	H&P - Asset Register & Valuation
PCC Audit Plan 2017/18	1617-052	FIS - E-business
PCC Audit Plan 2017/18	1617-074	H&P Lifts & Mechanical Plant
PCC Audit Plan 2017/18	1617-062	HLP Recruitment & Vetting of staff